



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Noteborn et al.

Serial No.: 09/655,109

Filed: September 5, 2000

For: APOPTIN-ASSOCIATING PROTEIN

Confirmation No.: 7279

Examiner: J. Woitach

Group Art Unit: 1632

Attorney Docket No.: 2906-4995US

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO/SB/08 be considered by the Examiner and made of record. Copies of U.S. patents are not being submitted pursuant to M.P.E.P. 609 III A(2). Copies of foreign patent documents and non-patent literature are enclosed pursuant to 37 C.F.R. § 1.98(a)(2).

U.S. Patent Documents

<u>U.S. Patent No.</u>	<u>Publication Date</u>	<u>Patentee</u>
US-6,878,692	04-12-2005	Noteborn et al.
US-5,981,205	11-1999	Hemmings et al.
US-6,809,189 B2	10-26-2004	Noteborn et al.
US 2003/0105315 A1	06-05-2003	Specht et al.

Foreign Patent Documents

<u>Document No.</u>	<u>Publication Date</u>	<u>Patentee</u>
EP0921192A1	06-1999	Leadd B.V.
EP0924296A2	06-1999	Leadd B.V.

Other Documents

Zhuang et al., Apoptin, a Protein Derived from Chicken Anemia Virus, Induces p53-independent Apoptosis in Human Osteosarcoma Cells, Cancer Res, February 1995, pp. 486-89, Vol. 55, No. 3.

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Bellamy, Christopher O.C., et al., "Cell death in health and disease: the biology and regulation of apoptosis," Seminars in Cancer Biology, vol. 6, pp. 3-16 (1995).

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Strausberg, Robert, "qy85c09.x1 NCI_CGAP_Brn25 *Homo sapiens* cDNA clone IMAGE:2018800 3', mRNA sequence," Jan. 7, 1999, Accession No. AI360308.

Zhao S., "Use of BAC End Sequences from Library RPCI-11 for Sequence-Ready Map building," March 15, 1999, ACCESSION NUMBER AQ382839.

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- Database GenEmbl on GenCore version 4.5, Accession No. AX015052, Oct. 1999.
- Strausberg R. Database EST on GenCore version 4.5, Accession No. BE746443, Sep. 2000.
- Danen-Van Oorschot et al., BCL-2 Stimulated Apoptin-induced Apoptosis, pp. 245-249 in *Drug Resistance in Leukemia and Lymphoma III*, ed. Kaspers et al., Kluwer Academic/Plenum Publishers, New York, 1999.
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- Abstract XP-002140967, May 1999.
- Abstract XP-002140968, May 1995.
- Abstract XP-002140969, 2000.
- McDonnell et al., "Implications of apoptotic cell death regulation in cancer therapy," *Cancer Biology*, 1995, pp. 53-60, vol. 6.
- Mullersman et al., "The PHD finger: implications for chromatin-mediated transcriptional regulation," *TIBS* 20, Feb. 1995, pp. 56-59.
- Jacobson et al., "Modifying chromatin and concepts of cancer," *Chromosomes and expression mechanisms*, pp. 175-184.
- Lu et al., "A Novel Gene (PLU-1) Containing Highly Conserved Putative DNA/Chromatin Binding Motifs Is Specifically Up-regulated in Breast Cancer," *The Journal of Biological Chemistry*, 1999, pp. 15633-15645, Vol. 274, No. 22.
- Zhuang et al., "Apoptin, a Protein Encoded by Chicken Anemia Virus, Induces Cell Death in Various Human Hematologic Malignant Cells in vitro," *Leukemia*, vol. 9, Suppl. 1, pp. S118-S120, 1995.

Serial No.: 09/655,109

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, Applicants submit the following listed copending applications naming a common inventor(s):

Attorney Docket No.: 2183.01-4999US
Serial No.: 09/403,213
Filing Date: 6/22/2000
Title: A GENE DELIVERY VEHICLE EXPRESSING THE
APOPTOSIS-INDUCING PROTEINS VP2 AND/OR APOPTIN

Attorney Docket No.: 2906-5008US
Serial No.: 09/555,981
Filing Date: 8/1/2000
Title: MOLECULES INTERACTING WITH APOPTIN

Attorney Docket No.: 2906-4997US
Serial No.: 09/733,416
Filing Date: 12/8/2000
Title: APOPTIN-ASSOCIATING PROTEIN

Attorney Docket No.: 2906-4922US
Serial No.: 09/740,676
Filing Date: 12/18/2000
Title: CHICKEN ANEMIA VIRUS MUTANTS AND VACCINES AND
USES BASED ON THE VIRAL PROTEINS VP1, VP2, AND
VP3 OR SEQUENCES OF THAT VIRUS CODING THEREFOR

Attorney Docket No.: 2906-4992US
Serial No.: 09/889,430
Filing Date: 1/17/2002
Title: USE OF APOPTOSIS INDUCING AGENTS IN THE
TREATMENT OF (AUTO) IMMUNE DISEASES

Attorney Docket No.: 2906-5001.1US
Serial No.: 09/949,780
Filing Date: 9/10/2001
Title: A DELIVERY METHOD FOR THE TUMOR SPECIFIC
APOPTOSIS INDUCING ACTIVITY OF APOPTIN

Serial No.: 09/655,109

Attorney Docket No.: 2906-4996.1US
Serial No.: 10/083,849
Filing Date: 10/19/2001
Title: MODIFICATIONS OF APOPTIN

Attorney Docket No.: 2906-4819.1US
Serial No.: 10/113,790
Filing Date: 3/29/2002
Title: FUSION PROTEINS FOR SPECIFIC TREATMENT OF
CANCER AND AUTOIMMUNE DISEASES

Attorney Docket No.: 2906-5334US
Serial No.: 10/126,474
Filing Date: 4/19/2002
Title: APOPTOSIS INDUCING PROTEINACEOUS SUBSTANCE

Attorney Docket No.: 2906-4918.1US
Serial No.: 10/256,041
Filing Date: 9/26/2002
Title: CLONING OF CHICKEN ANEMIA VIRUS DNA

Attorney Docket No.: 2906-4992.1US
Serial No.: 11/067,001
Filing Date: 2/25/2005
Title: USE OF APOPTOSIS INDUCING AGENTS IN THE
TREATMENT OF (AUTO) IMMUNE DISEASES

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits; therefore, no fee is due.

Serial No.: 09/655,109

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Allen C. Turner', with a stylized flourish at the end.

Allen C. Turner

Registration No. 33,041

Attorney for Applicant(s)

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Date: May 5, 2005

ACT/bv

Enclosures: Form PTO/SB/08

Copy of documents cited

Document in ProLaw

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	4
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Complete if Known

Application Number	09/655,109
Filing Date	September 5, 2000
First Named Inventor	Noteborn et al.
Group Art Unit	1632
Examiner Name	J. Woitach
Attorney Docket Number	2906-4995US

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(use as many sheets as necessary)*

Sheet 2 of 4

Complete if Known

Application Number	09/655,109
Filing Date	September 5, 2000
First Named Inventor	Noteborn et al.
Group Art Unit	1632
Examiner Name	J. Weitach
Attorney Docket Number	2906-4995US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Zhuang et al., Apoptin, a Protein Derived from Chicken Anemia Virus, Induces p53-independent Apoptosis in Human Osteosarcoma Cells, Cancer Res, February 1995, pp. 486-89, Vol. 55, No. 3.	
		Pietersen et al., Specific tumor-cell killing with adenovirus vectors containing the apoptin gene, Gene Therapy, 1999, pp. 882-92, Vol. 6.	
		Bellamy, Christopher O.C., et al., "Cell death in health and disease: the biology and regulation of apoptosis," Seminars in Cancer Biology, vol. 6, pp. 3-16 (1995).	
		Danen-Van Oorschot, A.A.A.M., et al., "Apoptin induces apoptosis in human transformed and malignant cells but not in normal cells." Proc. Nat'l. Acad. Sci. USA vol. 94, pp. 5843-5847 (May 1997).	
		Danen-van Oorschot, et al., A.A.A.M., "BAG-1 inhibits p53-induced but not apoptin-induced apoptosis," Apoptosis, vol. 2, No. 4, pp 395-402 (1997).	
		Duke, Richard C., et al., "Cell Suicide in Health and Disease," Scientific American, pp 80-87 (Dec. 1996).	
		Noteborn, M.H.M., et al., "A Single Chicken Anemia Virus Protein Induces Apoptosis," Journal of Virology, vol. 68, No. 1, pp. 346-351 (Jan. 1994).	
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**INFORMATION DISCLOSURE
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Sheet

3

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		Noteborn, Mathieu H.M., et al., "Simultaneous expression of recombinant baculovirus-encoded chicken anaemia virus (CAV) proteins VP1 and VP2 is required for formation of the CAV-specific neutralizing epitope," Journal of General Virology, vol. 79, pp. 3073-3077 (1998).	
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		Zhao S., "Use of BAC End Sequences from Library RPCI-11 for Sequence-Ready Map building," March 15, 1999, ACCESSION NUMBER AQ382839.	
		Strausberg, Robert, "wd70d04.x1 NCI_CGAP_Lu24 Homo sapiens cDNA clone IMAGE:2336935 3', mRNA sequence," June 3, 1999, ACCESSION NUMBER AI692778.	
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		Jain et al., Vascular and interstitial barriers to delivery of therapeutic agents in tumors, Cancer and Metastasis Reviews, 1990, pp. 253-266, Vol. 9.	
		Jain R.K, Delivery of Molecular Medicine to Solid Tumors, 1996, Science, pp. 1079-1080, Vol. 271.	
		Dermer, Another Anniversary for the War on Cancer, Biotechnology, 1994, pp. 320, Vol. 12.	

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Sheet 4 of 4

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		Danen-Van Oorschot et al., BCL-2 Stimulated Apoptin-induced Apoptosis, pp. 245-249 in Drug Resistance in Leukemia and Lymphoma III, ed. Kaspers et al., Kluwer Academic/Plenum Publishers, New York, 1999.	
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		Zhuang et al., "Apoptin, a Protein Encoded by Chicken Anemia Virus, Induces Cell Death in Various Human Hematologic Malignant Cells in vitro," Leukemia, vol. 9, Suppl. 1, pp. S118-S120, 1995.	

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